



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0439

Date & Time Received: 3/31/23 at 16:48

Date & Time of Response: Reissued 07/14/23 at 17:00

Entity Requesting FRF: Lake Valley Chapter

Title of Project: Septic Cleaning

Administrative Oversight: NNEPA - Surface and Ground Water Protection Department

Amount of Funding Requested: \$100,000.00

Eligibility Determination:

- FRF eligible
FRF ineligible
Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
(2) Premium Pay
(3) Government Services/Lost Revenue
(4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category:

5.5 Clean Water: Other Sewer Infrastructure

THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NON-GOVERNANCE CERTIFIED CHAPTERS

Part 1. Identification of parties.

Non-Governance Certified Chapter requesting FRF: Lake Valley Date prepared: 11/19/2022

Chapter's mailing address: PO Box 190 Crownpoint, NM 87313 phone/email: (505) 786-2190 website (if any): lakevalley@navajochapters.org

This Form prepared by: Lucinda Begay phone/email: (505)786-2191/2184
Community Services Coordinator lucinda.begay@nnchapters.org
CONTACT PERSON'S name and title CONTACT PERSON'S info

Title and type of Project: Septic Cleaning

Chapter President: Tony Padilla phone & email: (505) 320-9902 tpadillajr@naataanii.org
Chapter Vice-President: Edison Tso phone & email: (505) 860-8827 etso@naataanii.Org
Chapter Secretary: Betty S. Dennison phone & email: (505)860-3109 bdennison@navajochapters.org
Chapter Treasurer: Betty S. Dennison phone & email: (505) 860-3109 bdennison@navajochapters.org
Chapter Manager or CSC: Lucinda Begay phone & email: (505)786-2190 lucinda.begay@nnchapters.org
DCD/Chapter ASO: Casey Begay phone & email: _____

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____

document attached

Amount of FRF requested: 100,000 FRF funding period: 3/1/2023 to 9/30/2026
indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The Lake Valley Chapter will utilize the fund to contract of cleaning for the membership of Lake Valley community on existing line of bathroom septic tank . The Septic tank line is essential to improve the health of well being and sanitation to prevent of the virus. This project is the prevention to the Covid-19.

document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

The project will benefit the Lake Valley Navajo communities of safe sanitation and to reduce of the affect of the virus. The services will last more than three years for the next cleaning. This project will benefit the elders and high risk individuals with fixed income.

document attached

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the

Program(s) or Project(s) by December 31, 2026:

The Chapter will work diligently with the community of the dire need of projects. The challenges to prevent from incurring costs is to have the private contractor with the Navajo preferences listing to do the cleaning of the septic tanks. The Chapter will monitor the timelines to completing the projects

document attached

(d) Identify who will be responsible for implementing the Program or Project:

The Lake Valley Chapter administration and will coordinate, collaborate and communicate for the responsible for implementing the project.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Lake Valley Chapter community members the owners of homes will maintain and operation cost for the project once complete. This will be the one time assistance due to families stayed home more and the septic tank were utilized extra during the Covid arise during pademic time.

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

2.1 The Lake Valley Chapter community members has septic tank that was utilized more than usual during the pandemic time and executive order was made for families to stay at home. The consuming of the septic tanks in the community increased.

document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

Resolution
Budget Forms

Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

Chapter's Preparer: YQ Bq
signature of Preparer/CONTACT PERSON

Approved by: [Signature]
signature of Chapter President (or Vice-President)

Approved by: YQ Bq
signature of CSC

Approved by: [Signature]
signature of Chapter ASO

Approved to submit for Review: Lisa Jynum, NAFRCO
signature of DCD Director

FY 2023

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

Page 1 of 3
BUDGET FORM 1

PART I. Business Unit No.: NEW Program Title: LAKE VALLEY CHAPTER - Septic Cleanings Division/Branch: NNDCD / Executive
 Prepared By: Lucinda Begay Phone No.: (505) 786-2190 Email Address: lakevalley@navajochapters.org

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
AARPA Funds	3/1/23-1/31/24	100,000.00	100%	2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services	6		100,000	100,000
				7000 Special Transactions				
				8000 Public Assistance				
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
				TOTAL		\$0.00	100,000.00	100,000
TOTAL:				PART IV. POSITIONS AND VEHICLES		(D)	(E)	
		\$100,000.00	100%	Total # of Positions Budgeted:		0	0	
				Total # of Vehicles Budgeted:		0	0	

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: James Adakai, Deputy Director APPROVED BY: Calvin Castillo, Division Director
 Program Manager's Printed Name Division Director / Branch Chief's Printed Name
[Signature] 2-9-23 [Signature] 2/9/2023
 Program Manager's Signature and Date Division Director / Branch Chief's Signature and Date

FY 2023

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

Page 2 of 3
BUDGET FORM 2

PART I. PROGRAM INFORMATION:

Business Unit No.: NEW

Program Name/Title: LAKE VALLEY CHAPTER

- Septic Cleaning

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:

Lake Valley Chapter Resolution No: LVC-OCT16-002

PART III. PROGRAM PERFORMANCE CRITERIA:

1st QTR		2nd QTR		3rd QTR		4th QTR	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual

1. Goal Statement:

To clean the septic tanks to homes in Lake Valley Community

Program Performance Measure/Objective:

To provide cleaning the septic tanks 60 or more homes for Lake Valley community members

15		15		15		15	
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2. Goal Statement:

Program Performance Measure/Objective:

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3. Goal Statement:

Program Performance Measure/Objective:

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4. Goal Statement:

Program Performance Measure/Objective:

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5. Goal Statement:

Program Performance Measure/Objective:

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PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

James Adakai, Deputy Director

Program Manager's Printed Name

[Signature]
Program Manager's Signature and Date

Calvin Castillo, Division Director

Division Director/Branch Chief's Printed Name

[Signature] 2/9/2023
Division Director/Branch Chief's Signature and Date

**THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION**

PART I. PROGRAM INFORMATION:					
Program Name/Title:		Lake Valley Chapter - Septic Cleaning		Business Unit No.: NEW	
PART II. DETAILED BUDGET:					
(A)	(B)			(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)			Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6200	External Contractors				
6290	General Contractor			100,000	
	Septic Tank Cleaning				100,000
TOTAL				100,000	100,000

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u> Project Title: <u>Lake Valley Chapter - Septic Tank Cleaning</u> Project Description: <u>Septic Tank Cleaning</u>								PART II. Project Information Project Type: <u>Septic Cleaning</u> Planned Start Date: <u>3/1/2023</u> Planned End Date: <u>9/30/2026</u> Project Manager: <u>Chapter Staff</u>									
Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification																	
PART III. List Project Task separately, such as Plan, Design, Construct, Equip or Furnish.		PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.												Expected Completion Date if project exceeds 8 FY Qtrs.			
		FY 2023				FY 2024								Date: 9/30/26			
		1st Qtr.	2nd Qtr.		3rd Qtr.		4th Qtr.		1st Qtr.	2nd Qtr.		3rd Qtr.		4th Qtr.			
Plan			X	X													
Design				X													
Construct					X	X	X	X									
Completion								X									
PART V.		\$	\$		\$		\$		\$	\$		\$		\$		PROJECT TOTAL	
Expected Quarterly Expenditures			10,000.00		85,000.00		5,000.00									\$100,000.00	

FOR OMB USE ONLY: Resolution No: _____ FMIS Set Up Date: _____ Company No: _____ OMB Analyst: _____



The Navajo Nation **DR. BUU NYGREN** *PRESIDENT*
 Yideeskáądi Nitsáhákees **RICHELLE MONTOYA** *VICE PRESIDENT*

LAKE VALLEY CHAPTER

Danny Simpson, Council Delegate | Tony Padilla, President | Edison Tso, Vice President | Betty S. Dennison, Secretary/Treasurer
 Janice Padilla, Land Board | Lucinda Begay, Community Service Coordinator | Accounts Maintenance Specialist – Vacant

LVC-MAR19-035

RESOLUTION OF LAKE VALLEY CHAPTER

LAKE VALLEY CHAPTER AFFIRMS THAT THE CHAPTER WILL ONLY USE AWARDED FISCAL RECOVERY FUNDS AND IMPLEMENT THIS FRF EXPENDITURE PLAN IN COMPLIANCE WITH THE AMERICAN RESCUE PLAN ACT (ARPA), ARPA REGULATIONS, AND APPLICABLE FEDERAL AND NAVAJO NATION LAWS, REGULATIONS, AND POLICIES.

WHEREAS:

1. Lake Valley Chapter is a certified Navajo Nation Chapter Government, which was created pursuant to the Navajo Nation Council Resolution No. C-J25-55, is delegated governmental authority with respect to local matters consistent with Navajo laws, including customs, traditions, and fiscal matters; and
2. Lake Valley Chapter is empowered by the Navajo Nation Council to review all matters affecting the community to make favorable decisions in the best interest for the general health, safety and welfare of chapter membership through implementation solutions for economic development, cultural preservation, recreation, solid waste management, elderly care, quality housing, public safety, road maintenance; educational support for community students; and
3. Lake Valley Chapter communities understand that in pursuant to the Navajo Nation Code (NNC) Title 26, Local Governance Act, which allows chapters to make decisions over local matters. This authority in the long run all improve community decision-making by allowing committees to excel and flourish, enable Navajo leaders to lead toward a prosperous future, and improve the strength and sovereignty of the Navajo Nation; through adoption of this Act, chapters are compelled to govern with responsibility and accountability to the local citizens; and
4. Lake Valley Chapter community met on February 6, 2023 Planning Meeting to discuss and plan upcoming business items to be placed on the agenda. Council Delegate Danny Simpson provided Chapters under his oversight of equal distribution of \$8,802,340.00 divided by 8 Chapters that equals to \$1,100,292.50 each Chapter; therefore, Lake Valley Chapter will be receiving \$1,100,292.50, so the current AMERICAN RESCUE PLAN ACT (ARPA) Expenditures Plan Adjustments to reflect the amount of \$1,100,000.00 for Lake Valley Chapter Infrastructures Project was done. However, Taylor Pinto (LDA to Council Delegate Danny Simpson) emailed Lake Valley Chapter on March 8, 2023 with information that NN Department of Justice recommended language be added to ARPA Resolution that was previously submitted; and
5. Lake Valley Chapter community adopted Resolution No. LVC-MAR19-035 presented on March 19, 2023 to support the language amended that ARPA Expenditure Plan will only be use awarded Fiscal Recovery Funds accordingly as stated, which is in the best interest of community membership of Lake Valley Chapter.

NOW THEREFORE BE IT RESOLVED THAT:

Lake Valley Chapter of the Navajo Nation hereby approves recommended language by Department of Justice (DOJ) affirms that Lake Valley Chapter will only use awarded Fiscal Recovery Funds and implement this FRF Expenditure Plan in compliance with the AMERICAN RESCUE PLAN ACT (ARPA), ARPA Regulations, and Applicable Federal and Navajo Nation Laws, Regulations, and Policies. (ATTACHMENT OF EXPENDITURE PLAN)

CERTIFICATION

WE HEREBY CERTIFY that the foregoing resolution was duly considered by the Lake Valley Chapter at a duly called chapter meeting at Lake Valley (New Mexico) Navajo Nation, at which a quorum was present and that same was passed by a vote of eight (8) in favors, zero (0) opposed and four (4) abstained on this 19th day of March 2023. Motion by Nancy Yazzie; and second by Larry Montoya.

Mr. Tony Padilla Jr., Chapter President

Mr. Edison Tso, Chapter Vice President

Mrs. Betty Dennison, Chapter Secretary / Treasurer

Mr. Danny Simpson, Council Delegate



The Navajo Nation **DR. BUU NYGREN** *PRESIDENT*
Yideeskáądi Nitsáhákees **RICHELLE MONTOYA** *VICE PRESIDENT*

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**LAKE VALLEY CHAPTER
ARPA PROJECT REQUEST | 1.1 MILLION**

Drill Water Well	\$500,000
Bathroom Addition/Renovation	\$200,000
Chapter Renovation HVAC System	\$150,000
Septic Tank Upgrade	\$100,000
Septic Tank Cleaning	\$100,000
Waterline Powerline Row Agent	\$50,000
TOTAL	\$1,100,000